EY'S DOCKET NUMBER

TJK/166
U.S. APPLICATION NO. (If known, see 37 CFR 1.5

7186 E CLAIMED

| | INTERNATIONAL APPLICATION NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | | | |
|--|--|---|-----------------------------|--|--|--|--|--|
| | PCT/CN01/00099 | 22 January 2001 | 22 January 2001 | | | | | |
| | TITLE OF INVENTION METHOD AND SYSTEM OF CONVERTING WASTE PLASTICS INTO HYDROCARBON OIL | | | | | | | |
| | APPLICANT(S) FOR DO/EO/US | | | | | | | |
| | | | - | | | | | |
| | Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | |
| | 1. X This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | |
| The state of the s | 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | |
| | 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | |
| | 4. The US has been elected by the expiration of 19 months from the priority date (Article 31). | | | | | | | |
| | 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | |
| | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | |
| | b. has been communicated by the International Bureau. | | | | | | | |
| (11) | c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | |
| 75.51 | 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | |
| ļaih 1849 | a. is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | |
| | 7. Amendments to the claims of the International Aplication under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | |
| AF 1 | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | |
| (1) | b. have been communicated by the International Bureau. | | | | | | | |
| agia Maila | | ver, the time limit for making such amendmer | nts has NOT expired. | | | | | |
| *** | d. have not been made and wi | Il not be made. | | | | | | |
| 121 | 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). | | | | | | | |
| ļeih | 9. 🛛 An oath or declaration of the inventor | (s) (35 U.S.C. 371(c)(4)). | | | | | | |
| | 10. An English lanugage translation of the Article 36 (35 U.S.C. 371(c)(5)). | e annexes of the International Preliminary Ex | camination Report under PCT | | | | | |
| | Items 11 to 20 below concern document | (s) or information included: | | | | | | |
| | 11. An Information Disclosure Stateme | nt under 37 CFR 1.97 and 1.98. | | | | | | |
| | 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | |
| | 13. X A FIRST preliminary amendment. | | | | | | | |
| | 14. A SECOND or SUBSEQUENT pre | A SECOND or SUBSEQUENT preliminary amendment. | | | | | | |
| | 15. A substitute specification. | A substitute specification. | | | | | | |
| | 16 X A change of nower of atternoy and | on address later. | | | | | | |

A second copy of the published international application under 35 U.S.C. 154(d)(4).

* Notification Regarding Certain Corrections Made (Ex Officio)

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.

*Certificate of Express Mail EI379156172US (PCT/RO/146)

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES

DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

17.

18.

19.

20. X

Other items or information:

* Return postcard

* Check in the amount of \$ 585.00

PCT Request Form/ *Drawings (3 sheets)

FORM PTO-1390 \ (REV. 11-2000)

| | 78'07 | INTERNATIONAL APPLICATION NO. PCT/CN01/0009 | 9 | | ATTORNEY'S DO | |
|--|--|--|--|--|--|-------------------------------------|
| 21. X The follow | ving fees are submitted: | | | CAL | CULATIONS | PTO USE ONLY |
| BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): | | | | | | |
| Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO\$1000.00 | | | | | | |
| International prelir USPTO but Intern | ninary examination fee (ational Search Report pr | 37 CFR 1.482) not paid to epared by the EPO or JPO | 9 \$860 . 00 | | | |
| International prelimbut international se | ninary examination fee (2) earch fee (37 CFR 1.445(| 37 CFR 1.482) not paid to (a)(2)) paid to USPTO | USPTO \$710.00 | | | |
| International prelin but all claims did n | ninary examination fee (1 ot satisfy provisions of F | 37 CFR 1.482) paid to US PCT Article 33(1)-(4) | PTO \$690.00 | | | |
| International prelin | ninary examination fee (? | 37 CFR 1.482) paid to US | PTO | | | |
| | | Article 33(1)-(4) | | | | 1 |
| ENTE. | R APPROPRIATE | BASIC FEE AMOU | UNT = | \$ 1 | ,000.00 | İ |
| Surcharge of \$130.0 months from the ear | 0 for furnishing the oath liest claimed priority date | or declaration later than e (37 CFR 1.492(e)). | 20 30 | \$ | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ | | |
| Total claims · | 25 - 20 = 5 | 5 | x \$18.00 | Ψ | 0.00 | |
| ndependent claims | 4 - 3 = 1 | 1 | x \$80.00 | \$ 8 | 0.00 | |
| MULTIPLE DEPEN | DENT CLATM(S) (if app | plicable) | + \$270.00 | <u>\$</u> | |] |
| | TOTAL (| OF ABOVE CALCU | LATIONS = | \$ 1 | ,170.00 | 1 |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | \$ 5 | 85.00 | |
| | | SI | BTOTAL = | \$585 | .00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | \$ | | | |
| | | TOTAL NATIO | NAL FEE = | \$ 58 | 5.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | \$ | | | |
| TOTAL FEES ENCLOSED = | | | | \$585 | .00 | |
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| | | | | (| charged: | \$ |
| a. 🔽 A check in t | he amount of \$ 585. | 00 to cover the | ahove fees is analys | | charged: | \$ |
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CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service by Express Mail No. <u>E1379156172US</u> in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on April 10, 2001.

Date: April 10, 2001

Signed: Molissa banday

Doc#: 317192